



March 1, 2018.

- Ex. 3 Transcript of Genovese's guilty plea allocution in U.S. v. Genovese, filed on October 29, 2018.
- Ex. 4 Transcript of the sentencing hearing in U.S. v Genovese, dated February 11, 2020.
- Ex. 5 Judgment in U.S. v. Genovese, imposed on February 11, 2020.
- Ex. 6 Restitution order in U.S. v. Genovese, filed on April 20, 2020.
- Ex. 7 Prejudgment Calculation Table on Disgorgement.
- Ex. 8 Proposed judgment against the Defendants.

I declare under penalty of perjury (under the laws of the United States of America) that the foregoing is true and correct.

Dated:           October 23, 2020  
                  New York, New York

/S/

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James K. Hanson

**CERTIFICATE OF SERVICE**

I am over the age of 18 years and not a party to this action. My business address is:

U.S. SECURITIES AND EXCHANGE COMMISSION  
200 Vesey Street, Suite 400, New York, New York 10281  
Telephone No. (212) 336-0178; Facsimile No. 703-813-3282.

On October 23, 2020, I caused to be served the document Declaration of James K. Hanson, dated October 23, 2020 with attached exhibits, on all the parties to this action addressed as stated on the attached service list:

**In Nicholas J Genovese' personal capacity and in his capacity of owning or controlling Willow Creek Investments LP. and Willow Creek Advisers, LLC.**

CERTIFIED MAIL

Nicholas J. Genovese, #17079-104  
MDC Brooklyn  
Metropolitan Detention Center  
P.O. Box 329002  
Brooklyn, NY 11232



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Alexander Vasilescu